

# 2023 ANNUAL LEADERSHIP CONFERENCE

IFIC

THE INVESTMENT  
FUNDS INSTITUTE  
OF CANADA

L'INSTITUT DES FONDS  
D'INVESTISSEMENT  
DU CANADA

Thursday, October 5  
Toronto Region Board of Trade  
100 Queens Quay East

## Sarah A. Bessin

Deputy General Counsel—Markets, SMAs & CITs  
Investment Company Institute (ICI)

Sarah A. Bessin joined the Investment Company Institute in 2011 and is Deputy General Counsel—Markets, SMAs & CITs. Previously, she was Assistant Director of the Office of Investment Adviser Regulation in the US Securities and Exchange Commission's Division of Investment Management. She also served in other roles during her more than ten years at the SEC, including Assistant Chief Counsel in the Division of Enforcement, in which she served as an investment management specialist for the Division, and Special Counsel in the Division of Investment Management's Office of Chief Counsel. Sarah also spent more than five years in private practice at Shearman & Sterling LLP, advising financial services clients on a wide variety of regulatory, transactional, and compliance matters. Sarah received her BA from the University of Michigan and her JD, *cum laude*, from the University of Michigan Law School.

