



THE INVESTMENT
FUNDS INSTITUTE
OF CANADA

L'INSTITUT DES FONDS
D'INVESTISSEMENT
DU CANADA

2022 IFIC OPERATIONS DAY

Dara Moore

**Associate Vice President, Regulatory and Operations Compliance
AGF Investments Inc.**

Dara Moore has over 20 years' experience within the financial services industry with a specialized focus on investment funds operations and regulations. Since joining AGF Investments in 2008, she has progressed through various roles of increasing mandates and currently leads the Investment Fund Management team within AGF's Compliance department.



In the role of AVP, Regulatory and Operations Compliance, Dara is responsible for overseeing execution of the Compliance Program in the areas of personal trading, short-term/frequent trading, sales practices, marketing and sales communications, AML, FATCA/CRS, complaints handling and privacy, as well as providing strategic direction and leading implementation of new regulatory changes and AGF's regtech transformation initiatives.

Dara is an active participant on several industry working groups and committees, including the Joint IFIC/IIAC OEO Trail Ban Committee (Chair), IFIC OEO Trail Ban Task Force (Chair), IFIC Operations Working Group, CRA/Financial Services Liaison Committee; Fundserv's Standards Steering Committee, Manufacturer Advisory Council and FATCA/CRS Processing Working Group (former Chair).

Dara holds an Honours BBA in the Specialist in Management (Finance Stream) program from the University of Toronto.